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A Generalization of the Helly Property Applied to the Cliques of a Graph

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Abstract

Let $p \geq 1$ and $q \geq 0$ be integers. A family \mathcal{S} of sets is (p, q) -*intersecting* when every subfamily $\mathcal{S}' \subseteq \mathcal{S}$ formed by p or less members has total intersection of cardinality at least q . A family \mathcal{F} of sets is (p, q) -*Helly* when every (p, q) -intersecting subfamily $\mathcal{F}' \subseteq \mathcal{F}$ has total intersection of cardinality at least q . A graph G is a (p, q) -*clique-Helly graph* when its family of cliques (maximal complete sets) is (p, q) -Helly. According to this terminology, the usual Helly property and the clique-Helly graphs correspond to the case $p = 2, q = 1$.

In this work we present characterizations for (p, q) -Helly families of sets and (p, q) -clique-Helly graphs. For fixed p, q , those characterizations lead to polynomial-time

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recognition algorithms. When p or q is not fixed, it is shown that the recognition of (p, q) -clique-Helly graphs is NP-hard.

We also extend further the notions presented, by defining the (p, q, r) -Helly property (which holds when every (p, q) -intersecting subfamily $\mathcal{F}' \subseteq \mathcal{F}$ has total intersection of cardinality at least r) and giving a way of recognizing (p, q, r) -Helly families in terms of the (p, q) -Helly property.

Keywords: Clique-Helly Graphs, Helly Property, Intersecting Sets

1 Introduction

A well known result by Helly published in 1923 [4, 11] states that if there are given n convex subsets of a d -dimensional euclidean space with $n > d$ and if each family formed by $d + 1$ of the subsets has a point in common, then there exists a common point of the n subsets.

This result inspired the definition of the “Helly property” for families of sets in general, a concept that has been extensively studied in many contexts (see e.g. [7]). We say that a family \mathcal{F} of sets *has the Helly property* (or *is Helly*) when every subfamily $\mathcal{F}' \subseteq \mathcal{F}$ of pairwise intersecting sets has non-empty total intersection.

When the family of cliques of a graph G satisfies the Helly property, we say that G is a *clique-Helly* graph (cfr. [9]). Clique-Helly graphs were characterized via the notion of *extended triangles* [8, 15]. An extended triangle of a graph G is an induced subgraph of G formed by a triangle T together with the vertices which form a triangle with at least one edge of T .

Theorem 1 [8, 15] *G is a clique-Helly graph if and only if every of its extended triangles contains a universal vertex.*

The above characterization leads to a straightforward recognition algorithm

for clique-Helly graphs with time complexity $O((|V(G)| + t(G)) |E(G)|)$, where $t(G)$ is the number of triangles of G .

We may think of a more general “ p -Helly property”, which holds when every $\mathcal{F}' \subseteq \mathcal{F}$ of p -wise intersecting sets has non-empty total intersection. Thus, the original result of Helly may be restated by simply saying that any family of convex subsets of a d -dimensional euclidean space is $(d + 1)$ -Helly.

The p -Helly property has been studied in the context of hypergraphs [2, 3]. In fact, this concept is equivalent to the *Helly number*. A family \mathcal{F} of sets has Helly number p if, for all $\mathcal{F}' \subseteq \mathcal{F}$, $\bigcap_{S \in \mathcal{F}'} S = \emptyset$ implies that there exist p sets $S_1, S_2, \dots, S_p \in \mathcal{F}'$ such that $S_1 \cap S_2 \cap \dots \cap S_p = \emptyset$. For instance, any family of paths of a tree has Helly number 2 (see [1], p. 399). It is clear that a family of sets is p -Helly if and only if it has Helly number p . In [12], the Helly number is defined as the minimum p for which \mathcal{F} is p -Helly, and it is shown that the Helly number of the m -convex sets of any connected graph G equals the clique number of G . In [10], a stronger notion is introduced: \mathcal{F} is said to have *strong Helly number* p if, for all $\mathcal{F}' \subseteq \mathcal{F}$, there exist p sets $S_1, S_2, \dots, S_p \in \mathcal{F}'$ such that $S_1 \cap S_2 \cap \dots \cap S_p = \bigcap_{S \in \mathcal{F}'} S$. In the same work, it has been shown that the family of cliques of an EPT graph (the edge intersection graph of a family of paths in a tree) has strong Helly number 4.

In this work we propose a new direction in which the p -Helly property can be generalized, by requiring that the subfamilies $\mathcal{F}' \subseteq \mathcal{F}$ satisfy the following property:

“if every group of p members of \mathcal{F}' have q elements in common, then \mathcal{F}' has total intersection of cardinality at least q .”

This leads naturally to the formal definition of the (p, q) -*Helly property*, as we shall see in Section 2, where we give a characterization for (p, q) -Helly families of sets. For fixed integers p and q , this characterization leads to a recognition algorithm whose time complexity is polynomial on the size of the family. Still in Section 2, we consider a slightly generalized form of this property, called the (p, q, r) -*Helly property*. A family \mathcal{F} is said to be (p, q, r) -*Helly* when, for every $\mathcal{F}' \subseteq \mathcal{F}$, if every group of p members of \mathcal{F}' have q

elements in common, then \mathcal{F}' has total intersection of cardinality at least r . We describe a characterization of (p, q, r) -Helly families in terms of the (p, q) -Helly property.

In Section 3, we study the (p, q) -Helly property applied to the case of the family of cliques of a graph. We say that a graph G is (p, q) -clique-Helly when its family of cliques is (p, q) -Helly. We show some examples and properties of (p, q) -clique-Helly graphs and give a characterization for them by means of the $(p + 1)$ -expansions of the intersection graph of the complete sets with size q . The definition of p -expansion is a generalization of the definition of extended triangle.

Since the number of cliques of a graph G may be exponential on the size of G [13], the recognition algorithm for (p, q) -Helly families of sets cited in Section 2 cannot be applied in general to the cliques of G in order to obtain a polynomial method for deciding whether G is (p, q) -clique-Helly, in the case where p and q are fixed. However, the characterization of (p, q) -clique-Helly graphs given in Section 3 does lead to a polynomial recognition algorithm for fixed p and q , as we remark in Section 4. We also show in Section 4 that, when p or q is not fixed, recognizing (p, q) -clique-Helly graphs is NP-hard.

Finally, in Section 5 we propose some questions concerning the (p, q, r) -Helly property.

In what follows, we give some definitions and notation. Let G be a graph. A vertex $w \in V(G)$ is a *universal vertex* when w is adjacent to every other vertex of G . If $S \subseteq V(G)$, then we denote by $G[S]$ the subgraph of G induced by S . A subgraph H of G is a *spanning subgraph* of G when $V(H) = V(G)$. A *complete* is a subset of pairwise adjacent vertices. A *clique* is a maximal complete.

If S is a set, then $|S|$ denotes the cardinality of S .

The *universe* $\text{Univ}(\mathcal{F})$ of a family \mathcal{F} of sets is defined as the union of its members: $\text{Univ}(\mathcal{F}) = \cup_{S \in \mathcal{F}} S$. The *total intersection* $\text{Int}(\mathcal{F})$ of a family \mathcal{F} of sets is defined as $\text{Int}(\mathcal{F}) = \cap_{S \in \mathcal{F}} S$. A *core* of a family \mathcal{F} of sets is any

subset contained in $\text{Int}(\mathcal{F})$.

We say that S is a q -set when $|S| = q$, a q^- -set when $|S| \leq q$, and a q^+ -set when $|S| \geq q$. This notation will also be applied to other terms used throughout this work: families, cores, completes and cliques.

2 The Generalized Helly Property

In this section, we first define the (p, q) -Helly property for families of sets in general. This definition is a generalization of the usual Helly property, which corresponds to the case $p = 2, q = 1$. We also provide a characterization for a family to be (p, q) -Helly. As we shall see, for fixed p and q , this characterization leads to a recognition algorithm whose time complexity is polynomial on the size of the family.

Next, we extend further these notion by defining the (p, q, r) -Helly property, and we study a way of recognizing (p, q, r) -Helly families in terms of the (p, q) -Helly property.

2.1 (p, q) -Helly families of sets

Definition 2 *Let $p \geq 1$ and $q \geq 0$ be integers, and let \mathcal{F} be a family of sets. We say that \mathcal{F} is (p, q) -intersecting when every p^- -subfamily $\mathcal{F}' \subseteq \mathcal{F}$ has a q^+ -core.*

The following proposition lists some immediate consequences of the above definition:

Proposition 3

- (i) For all $p \geq 1$ and \mathcal{F} , \mathcal{F} is $(p, 0)$ -intersecting.
- (ii) For all $p > 1$, if \mathcal{F} is (p, q) -intersecting then \mathcal{F} is $(p-1, q)$ -intersecting.
- (iii) For all $q > 0$, if \mathcal{F} is (p, q) -intersecting then \mathcal{F} is $(p, q-1)$ -intersecting.

□

We remark that, for itens (ii) and (iii) above, the converse is not true in general.

Definition 4 Let $p \geq 1$ and $q \geq 0$ be integers, and let \mathcal{F} be a family of sets. We say that \mathcal{F} satisfies the (p, q) -Helly property when every (p, q) -intersecting subfamily $\mathcal{F}' \subseteq \mathcal{F}$ has a q^+ -core. In this case, we also say that \mathcal{F} is (p, q) -Helly.

The next proposition is also easy to proof:

Proposition 5

- (i) For all $p \geq 1$ and \mathcal{F} , \mathcal{F} is $(p, 0)$ -Helly.
- (ii) For all $p > 1$, if \mathcal{F} is $(p-1, q)$ -Helly then \mathcal{F} is (p, q) -Helly.
- (iii) For all $q > 0$, if \mathcal{F} is $(p, q-1)$ -Helly then \mathcal{F} is (p, q) -Helly. □

The following lemma will be useful for the characterization of (p, q) -Helly families of sets.

Lemma 6 Let $p \geq 1$ and $q \geq 0$ be integers, \mathcal{Q} a $(p+1)$ -family of q -subsets of U , and \mathcal{F} a p^- -family of sets over U such that every member of \mathcal{F} contains at least p members of \mathcal{Q} . Then \mathcal{F} has a q^+ -core.

Proof. Consider the bipartite graph $G = (Q \cup \mathcal{F}, E)$ where there exists an edge (Q, S) in E , for $Q \in \mathcal{Q}$ and $S \in \mathcal{F}$, if and only if S contains Q . Since every $S \in \mathcal{F}$ contains at least p members of \mathcal{Q} , we have $p|\mathcal{F}| \leq |E|$.

Assume by contradiction that \mathcal{F} does not have a q^+ -core. This means that there is no q -subset Q of U such that every $S \in \mathcal{F}$ contains Q . In particular, no $Q \in \mathcal{Q}$ can be contained in all the members of \mathcal{F} . This means that every $Q \in \mathcal{Q}$ is contained in at most $|\mathcal{F}| - 1$ members of \mathcal{F} . Then $|E| \leq (p+1)(|\mathcal{F}| - 1)$.

By combining the two inequalities obtained above, we have $|\mathcal{F}| \geq p+1$, a contradiction. Therefore, the lemma holds. \square

The case $q = 1$ in the above lemma has been proved in the context of hypergraphs [2].

Since any family of q^+ -sets is $(1, q)$ -intersecting, it is easy to see that a family \mathcal{F} is $(1, q)$ -Helly if and only if the subfamily formed by the q^+ -sets of \mathcal{F} has a q^+ -core.

Now let us deal with the case $p > 1$. The following theorem presents a characterization for (p, q) -Helly families of sets in such a case:

Theorem 7 *Let $p > 1$ and $q \geq 0$ be integers, and let \mathcal{F} be a family of sets. Then \mathcal{F} is (p, q) -Helly if and only if for every $(p+1)$ -family \mathcal{Q} of q -subsets of $\text{Univ}(\mathcal{F})$, the subfamily \mathcal{F}' formed by the members of \mathcal{F} that contain at least p members of \mathcal{Q} has a q^+ -core.*

Proof.

(\Rightarrow) Suppose that \mathcal{F} is (p, q) -Helly and there exists a $(p+1)$ -family \mathcal{Q} of q -subsets of $\text{Univ}(\mathcal{F})$ such that the subfamily \mathcal{F}' formed by the members of \mathcal{F} that contain at least p members of \mathcal{Q} does not have a q^+ -core.

Consider a p^- -subfamily $\mathcal{F}'' \subseteq \mathcal{F}'$. By Lemma 6, \mathcal{F}'' has a q^+ -core. Therefore, \mathcal{F}' is (p, q) -intersecting. Since \mathcal{F} is (p, q) -Helly, we conclude that \mathcal{F}' has a q^+ -core. This is a contradiction. Hence, the necessity holds.

(\Leftarrow) Assume by contradiction that \mathcal{F} is not (p, q) -Helly. Let $\mathcal{F}' = \{S_1, \dots, S_k\}$ be a minimal (p, q) -intersecting subfamily of \mathcal{F} which does not have a q^+ -core. Clearly, $k > p$.

By the minimality of \mathcal{F}' , the subfamily $\mathcal{F}' \setminus S_i$ has a q -core Q_i , for $i = 1, \dots, k$. It is clear that $Q_i \not\subseteq S_i$.

Let $\mathcal{Q} = \{Q_1, \dots, Q_{p+1}\}$. Let $\mathcal{F}'' \subseteq \mathcal{F}$ formed by the members of \mathcal{F} that contain at least p members of \mathcal{Q} . Since $k > p > 1$, every member of \mathcal{F}' contains at least p members of \mathcal{Q} . Consequently, $\mathcal{F}' \subseteq \mathcal{F}''$. By hypothesis, \mathcal{F}'' has a q^+ -core. Therefore, \mathcal{F}' has a q^+ -core. This is a contradiction. Hence, the sufficiency holds. \square

By setting $q = 1$, we obtain as a corollary of the above theorem the characterization of k -Helly hypergraphs described in [3].

If $|\text{Univ}(\mathcal{F})| = n$, then the number of $(p+1)$ -families of q -subsets of $\text{Univ}(\mathcal{F})$ is $O(n^{q(p+1)})$. Hence, for fixed integers $p > 1$ and $q > 0$, Theorem 7 implies that deciding whether \mathcal{F} is (p, q) -Helly can be done in polynomial time on the size of \mathcal{F} .

2.2 (p, q, r) -Helly families of sets

Definition 8 Let $p \geq 1$, $q \geq 0$, $r \geq 0$ be integers, and let \mathcal{F} be a family of sets. We say that \mathcal{F} satisfies the (p, q, r) -Helly property when every (p, q) -intersecting subfamily $\mathcal{F}' \subseteq \mathcal{F}$ has an r^+ -core. In this case, we also say that \mathcal{F} is (p, q, r) -Helly.

The above definition has some direct consequences, listed below without

proof:

Proposition 9

- (i) For all $p \geq 1$ and $q \geq 0$, \mathcal{F} is (p, q) -Helly if and only if \mathcal{F} is (p, q, q) -Helly.
- (ii) For all $p \geq 1$, $q \geq 0$ and \mathcal{F} , \mathcal{F} is $(p, q, 0)$ -Helly.
- (iii) For all $p > 1$, if \mathcal{F} is $(p - 1, q, r)$ -Helly then \mathcal{F} is (p, q, r) -Helly.
- (iv) For all $q > 0$, if \mathcal{F} is $(p, q - 1, r)$ -Helly then \mathcal{F} is (p, q, r) -Helly.
- (v) For all $r > 0$, if \mathcal{F} is (p, q, r) -Helly then \mathcal{F} is $(p, q, r - 1)$ -Helly.
- (vi) For all $q, r \geq 0$, \mathcal{F} is $(1, q, r)$ -Helly if and only if the subfamily formed by the q^+ -sets of \mathcal{F} has an r^+ -core. \square

We describe now a characterization of (p, q, r) -Helly families of sets in terms of the (p, q) -Helly property.

Let $p \geq 1$ and $q \geq r \geq 0$ be integers, and let \mathcal{F} be a family of sets. Denote by $X = \{X_1, \dots, X_{|X|}\}$ the collection of the (p, r) -intersecting subfamilies of \mathcal{F} which are *not* (p, q) -intersecting. Let $I = \{1, 2, \dots, |X|\}$. For each $F_j \in \mathcal{F}$, denote $I(F_j) = \{i \in I \mid F_j \in X_i\}$. For $i, k \in I$, represent by R_i an r -set formed by chosen elements that satisfy $R_i \cap R_k = \emptyset$ for $i \neq k$ and $R_i \cap \text{Univ}(\mathcal{F}) = \emptyset$. The *augmentation of \mathcal{F} relative to (q, r)* is a family \mathcal{A} of sets, obtained from \mathcal{F} , as follows. For each $F_j \in \mathcal{F}$, the corresponding member of \mathcal{A} is $A_j = F_j \cup (\bigcup_{i \in I(F_j)} R_i)$.

Theorem 10 *Let $p \geq 1$ and $q \geq r \geq 0$ be integers. A family \mathcal{F} of sets is (p, q, r) -Helly if and only if the augmentation of \mathcal{F} relative to (q, r) is (p, r) -Helly.*

Proof. Let \mathcal{F} be a (p, q, r) -Helly family of sets. Denote by \mathcal{A} its augmentation relative to (q, r) . We show that \mathcal{A} is (p, r) -Helly. Let \mathcal{A}' be a (p, r) -intersecting subfamily of \mathcal{A} . Denote by \mathcal{F}' the subfamily of \mathcal{F} formed by the members of \mathcal{F} corresponding to those of \mathcal{A}' . We know that \mathcal{F}' must be

(p, r) -intersecting as well. If \mathcal{F}' is (p, q) -intersecting, then $\text{Int}(\mathcal{F}') = \text{Int}(\mathcal{A}')$. Because \mathcal{F} is (p, q, r) -Helly we conclude that \mathcal{A}' has an r^+ -core. On the other hand, it follows from the definition of \mathcal{A} that if \mathcal{F}' is not (p, q) -intersecting then $\text{Int}(\mathcal{A}')$ contains an r -set R_i . Consequently, \mathcal{A} is indeed (p, r) -Helly.

Conversely, by hypothesis the augmentation \mathcal{A} of \mathcal{F} relative to (q, r) is (p, r) -Helly. Let \mathcal{F}' be a (p, q) -intersecting subfamily of \mathcal{F} . Denote by \mathcal{A}' the subfamily of \mathcal{A} whose sets correspond to those of \mathcal{F}' . It follows that \mathcal{A}' is also (p, q) -intersecting, hence (p, r) -intersecting. Because \mathcal{F}' is (p, q) -intersecting, it also follows that $\text{Int}(\mathcal{F}') = \text{Int}(\mathcal{A}')$. Since \mathcal{A} is (p, r) -Helly, we conclude that \mathcal{F}' has an r^+ -core. Consequently, \mathcal{F} is (p, q, r) -Helly. \square

3 (p, q) -clique-Helly Graphs

3.1 Definition and Examples

We start this section by applying the concepts of the previous section to the family of cliques of a graph:

Definition 11 *Let $p \geq 1$ and $q \geq 0$ be integers, and let G be a graph. We say that G is a (p, q) -clique-Helly graph when its family of cliques is (p, q) -Helly.*

In the remainder of this work, we will assume that $p \geq 2$ and $q \geq 1$, unless differently mentioned.

It is clear that $(p - 1, q)$ -clique-Helly graphs form a subclass of (p, q) -clique-Helly graphs. The example below shows other relations between classes of (p, q) -clique-Helly graphs:

Example 12 Define the graph $G_{p,q}$ in the following way: $V(G_{p,q})$ is formed by a $(q - 1)$ -complete Q , a p -complete $Z = \{z_1, \dots, z_p\}$, and a p -independent

set $W = \{w_1, \dots, w_p\}$. Moreover, there exist the edges (z_i, w_j) , for $i \neq j$, and the edges (q, x) , for $q \in Q$ and $x \in Z \cup W$. Figure 1 depicts a scheme of the graph $G_{p,q}$, where a dashed line between z_i and w_i means $(z_i, w_i) \notin E(G_{p,q})$.

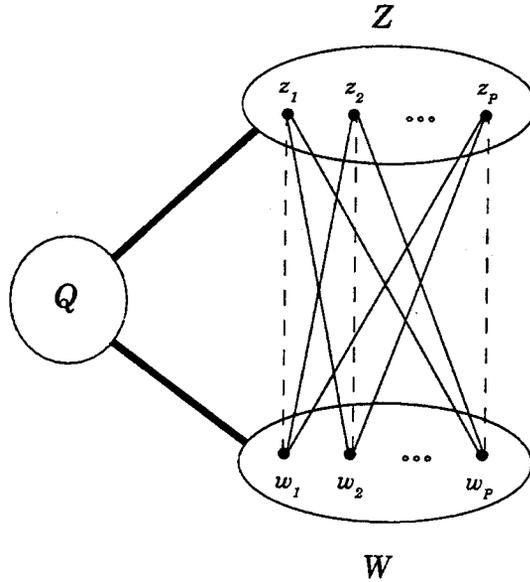


Figure 1: The graph $G_{p,q}$.

The family of cliques of the graph $G_{p,q}$ contains exactly $p+1$ members, each one of size $p+q-1$: $Q \cup \{z_1, \dots, z_p\}$ and $Q \cup (Z \setminus \{z_i\}) \cup \{w_i\}$, for $1 \leq i \leq p$.

Observe that $G_{p,q}$ is (p, q) -clique-Helly, but it is not $(p-1, q)$ -clique-Helly. Therefore, $G_{p,q}$ is (t, q) -clique-Helly for $t \geq p$, and it is not (t, q) -clique-Helly for $t < p$.

Moreover, $G_{p+1,q}$ is not (p, q) -clique-Helly, but it is (p, t) -clique-Helly for any $t \neq q$. Consequently, for distinct q and t , (p, q) -clique-Helly graphs and (p, t) -clique-Helly graphs are incomparable classes. \square

It is possible to give a first characterization for (p, q) -clique-Helly graphs, as a direct consequence of Theorem 7:

Observation 13 *A graph G is (p, q) -clique-Helly if and only if for each clique C of G and for every $(p + 1)$ -family \mathcal{Q} of q -completes contained in C , the subfamily of cliques of G that contain at least p members of \mathcal{Q} has a q^+ -core.*

However, the “characterization” above does not lead in general to a polynomial-time recognition algorithm for (p, q) -clique-Helly graphs, since the number of cliques of G may be exponential on the size of G . We will present in the next subsection a more useful characterization for (p, q) -clique-Helly graphs.

Define a graph G to be K_r -free when the size of the maximum clique of G is at most $r - 1$. An interesting fact derived from Definition 11 is that every $K_{(p+q)}$ -free graph is (p_1, q_1) -clique-Helly for $p_1 \geq p$ and $q_1 \geq q$. In order to prove this fact, we need first the following lemma:

Lemma 14 *Let \mathcal{Q} be a $(p + 1)$ -family of q -completes of a graph G . If every member of \mathcal{Q} is contained in a same $(p + q - 1)^-$ -complete of G , then the cliques of G that contain at least p members of \mathcal{Q} have a q^+ -core.*

Proof. Let \mathcal{Q} be a $(p + 1)$ -family of q -completes contained in a $(p + q - 1)^-$ -complete C , and let \mathcal{F} be the subfamily of cliques of G that contain at least p members of \mathcal{Q} . Observe that if a vertex x of C belongs to two members of \mathcal{Q} , then x belongs to all the cliques of \mathcal{F} . We will show that there exist at least q vertices in C belonging simultaneously to at least two members of \mathcal{Q} , which proves the lemma.

Suppose the contrary. Thus at most $q - 1$ vertices of C belong simultaneously to more than one member of \mathcal{Q} . Assume initially that $|C| = p + q - 1$. Then at least $p + q - 1 - (q - 1) = p$ vertices of C have the property of belonging to exactly one member of \mathcal{Q} . Let X be the set formed by such vertices, where $|X| = p + r, 0 \leq r \leq q - 1$. Observe that every member of \mathcal{Q} must contain at least $r + 1$ vertices belonging to X . This implies $|X| \geq (p + 1)(r + 1) = p + r + pr + 1 > pr$, a contradiction.

If C contains strictly less than $p + q - 1$ vertices, the same argument above can be used. \square

We remark that the above lemma holds not only for the family of cliques of a graph, but also for families of sets in general.

Theorem 15 *Let G be a $K_{(p+q)}$ -free graph. Then G is (p_1, q_1) -clique-Helly for all $p_1 \geq p$ and $q_1 \geq q$.*

Proof. Let $p_1 \geq p$ and $q_1 \geq q$. By Observation 13, we have to prove that for every $(p_1 + 1)$ -family \mathcal{Q} of q_1 -completes contained in a same clique of G , the subfamily \mathcal{F} of cliques of G that contain at least p_1 members of \mathcal{Q} must have a q_1^+ -core.

Since G is $K_{(p_1+q_1)}$ -free, it follows that every member of \mathcal{Q} is contained in a same $(p_1 + q_1 - 1)^-$ -complete of G . By Lemma 14, \mathcal{F} has a q_1^+ -core, as desired. \square

3.2 Characterizing (p, q) -clique-Helly Graphs

In order to give an useful characterization for (p, q) -clique-Helly graphs, we need some further definitions and lemmas, presented in the sequel.

Definition 16 [15] *Let \mathcal{F} be a subfamily of cliques of G . The clique subgraph induced by \mathcal{F} in G , denoted by $G[\mathcal{F}]_c$, is the subgraph of G formed exactly by the vertices and edges belonging to the cliques of \mathcal{F} .*

Definition 17 *Let G be a graph, and let C be a p -complete of G . The p -expansion relative to C is the subgraph of G induced by the vertices w such that w is adjacent to at least $p - 1$ vertices of C .*

We remark that the p -expansion for $p = 2$ has been used for characterizing clique-Helly graphs [8, 15]. It is clear that constructing a p -expansion relative to a given p -complete C can be done in polynomial time, for a fixed p .

Lemma 18 *Let G be a graph, C a p -complete of it, H the p -expansion of G relative to C , and \mathcal{C} the subfamily of cliques of G that contain at least $p - 1$ vertices of C . Then $G[\mathcal{C}]_c$ is a spanning subgraph of H .*

Proof. We have to show that $V(G[\mathcal{C}]_c) = V(H)$. Let $v \in V(H)$. Then v is adjacent to at least $p - 1$ vertices of C . Hence, v together with those $p - 1$ vertices form a p -complete, which is contained in a clique that contains at least $p - 1$ vertices of C . Therefore, $v \in V(G[\mathcal{C}]_c)$. Now, consider $v \in V(G[\mathcal{C}]_c)$. Then v belongs to some clique containing $p - 1$ vertices of C . That is, v is adjacent to at least $p - 1$ vertices of C , and hence $v \in V(H)$. Consequently, $V(G[\mathcal{C}]_c) = V(H)$. Furthermore, both H and $G[\mathcal{C}]_c$ are subgraphs of G , but H is induced. Thus $E(G[\mathcal{C}]_c) \subseteq E(H)$. \square

Definition 19 *Let G be a graph. The graph $\Phi_q(G)$ is defined in the following way: the vertices of $\Phi_q(G)$ correspond to the q -completes of G , two vertices being adjacent in $\Phi_q(G)$ if the corresponding q -completes in G are contained in a common clique.*

Observe that $\Phi_q(G)$ can be constructed in polynomial time, for a fixed q . We also remark that Φ_q is precisely the operator $\Phi_{q,2q}$, studied in [14]. An interesting property of Φ_q is that it preserves the subfamily of cliques of G containing at least q vertices:

Lemma 20 (Clique Preservation Property) *Let G be a graph. Then there exists a bijection between the subfamily of q^+ -cliques of G and the family of cliques of $\Phi_q(G)$.*

Proof. Let C be a q^+ -clique of G , and let $c = |C|$. Consider all the q -completes of G contained in $V(C)$. These sets clearly correspond to a $(\frac{c}{q})$ -complete C' of $\Phi_q(G)$. Assume that C' is not maximal. Then there exists $x \in V(\Phi_q(G))$, $x \notin V(C')$, such that x is adjacent to all the vertices of C' . But x corresponds to a q -complete Q of G such that for every q -complete $Q_1 \subseteq V(C)$, both Q and Q_1 are contained in a same q^+ -clique of G . This implies that every vertex v of Q is adjacent to every vertex $w \neq v$ of C . Since $x \notin V(C')$, Q must necessarily contain at least one vertex not belonging to C . In other words, C is not maximal, a contradiction. Hence, C' is a clique of $\Phi_q(G)$.

Conversely, let C' be a clique of $\Phi_q(G)$ and \mathcal{F} be the family of q -completes of G corresponding to the vertices of C' . Since any two vertices of C' are adjacent, any two completes of \mathcal{F} are contained in a same q^+ -clique of G . Hence, the union of the q -completes of \mathcal{F} is a q^+ -complete C of G .

Suppose by contradiction that C is not maximal. Thus, there exists a vertex $u \notin C$ which is adjacent to all the vertices of C . Consider $v_1, v_2, \dots, v_{q-1} \in C$. It is clear that $Q = \{u, v_1, v_2, \dots, v_{q-1}\}$ is a q -complete of G , and for every Q_1 in \mathcal{F} , both Q and Q_1 are contained in a same q^+ -clique of G . Since $u \notin C$, $Q \notin \mathcal{F}$, and this means that Q corresponds to a vertex $x \in V(\Phi_q(G))$ such that $x \notin C'$ and x is adjacent to all the vertices of C' . This implies that C' is not maximal, a contradiction. \square

The graph $\Phi_2(G)$ is the *edge clique graph* of G , introduced in [5], where the validity of the Clique Preservation Property was shown to that case.

The following definition is possible due to the Clique Preservation Property:

Definition 21 *Let G be a graph. If C is a q^+ -clique of G , denote by $\Phi_q(C)$ the clique that corresponds to C in $\Phi_q(G)$. If C' is a clique of $\Phi_q(G)$, denote by $\Phi_q^{-1}(C')$ the q^+ -clique that corresponds to C' in G . If \mathcal{F} is a subfamily of q^+ -cliques of G , define $\Phi_q(\mathcal{F}) = \{\Phi_q(C) \mid C \in \mathcal{F}\}$. If \mathcal{C} is a subfamily of cliques of $\Phi_q(G)$, define $\Phi_q^{-1}(\mathcal{C}) = \{\Phi_q^{-1}(C) \mid C \in \mathcal{C}\}$.*

Lemma 22 *Let G be a graph, \mathcal{F} a subfamily of q^+ -cliques of it, $\mathcal{C} = \Phi_q(\mathcal{F})$, and $H = \Phi_q(G)$. Then $H[\mathcal{C}]_c$ contains a universal vertex if and only if $G[\mathcal{F}]_c$ contains q universal vertices.*

Proof. If $H[\mathcal{C}]_c$ contains a universal vertex x , then every clique of \mathcal{F} contains the q -complete of G that corresponds to x , that is, $G[\mathcal{F}]_c$ contains q universal vertices. Conversely, if $G[\mathcal{F}]_c$ contains q universal vertices forming a q -complete Q of G , then every clique of \mathcal{C} contains the vertex of H that corresponds to Q , that is, $H[\mathcal{C}]_c$ contains a universal vertex. \square

Lemma 23 *Let C be a $(p+1)$ -complete of a graph G , and let \mathcal{C} be a p^- -subfamily of cliques of G such that every clique of \mathcal{C} contains at least p vertices of C . Then \mathcal{C} has a 1^+ -core.*

Proof. This lemma is an easy consequence of Lemma 6, by setting $q = 1$, $U = V(G)$, $\mathcal{Q} = \{\{w\} \mid w \in V(C)\}$, and $\mathcal{F} = \mathcal{C}$. \square

Now we are able to present a characterization for (p, q) -clique-Helly graphs. The cases $p = 1$ and $p > 1$ will be dealt with separately, as in Section 2.

Theorem 24 *Let G be a graph, and let W be the union of the q^+ -cliques of G . Then G is a $(1, q)$ -clique-Helly graph if and only if $G[W]$ contains q universal vertices.*

Proof.

(\Rightarrow) Assume that G is a $(1, q)$ -clique-Helly graph. Consider the subfamily \mathcal{F} of the cliques of G formed by the q^+ -cliques only.

If $w \in W$, then w clearly belongs to a q^+ -clique of G . This implies that $w \in V(G[\mathcal{F}]_c)$. On the other hand, if $w' \in V(G[\mathcal{F}]_c)$, then w' belongs to a

q^+ -clique of G , and therefore $w' \in W$. This shows that $G[\mathcal{F}]_c$ is a spanning subgraph of $G[W]$.

Since \mathcal{F} is $(1, q)$ -intersecting by hypothesis, it has a q^+ -core. This means that $G[\mathcal{F}]_c$ contains (at least) q universal vertices. Hence, $G[W]$ contains q universal vertices.

(\Leftarrow) Assume that $G[W]$ contains q universal vertices forming a q -complete Q . Let $\mathcal{F} = \{C_1, \dots, C_k\}$ be a $(1, q)$ -intersecting subfamily of cliques of G . Then $|C_i| \geq q$, that is, every $w \in C_i$ is contained in a q -complete of G , for $i = 1, \dots, k$. This implies that every C_i is an induced subgraph of $G[W]$. Therefore, every $u \in Q$ is adjacent to all the vertices of $C_i \setminus \{u\}$. By the maximality of C_i , it contains all the vertices $u \in Q$, for $i = 1, \dots, k$. Hence, \mathcal{F} has a q^+ -core, as required. \square

Theorem 25 *Let $p > 1$ be an integer. A graph G is a (p, q) -clique-Helly graph if and only if every $(p + 1)$ -expansion of $\Phi_q(G)$ contains a universal vertex.*

Proof.

(\Rightarrow) Suppose that G is a (p, q) -clique-Helly graph and there exists a $(p + 1)$ -expansion T , relative to a $(p + 1)$ -complete C of $\Phi_q(G)$, such that T contains no universal vertex.

Let \mathcal{C} be the subfamily of cliques of $H = \Phi_q(G)$ that contain at least p vertices of C . Let $\mathcal{F} = \Phi_q^{-1}(\mathcal{C})$. Consider a p^- -subfamily $\mathcal{F}' \subseteq \mathcal{F}$. Let $\mathcal{C}' = \Phi_q(\mathcal{F}')$. By Lemma 23, \mathcal{C}' has a 1^+ -core. That is, $H[\mathcal{C}']_c$ contains a universal vertex. This implies, by Lemma 22, that $G[\mathcal{F}']_c$ contains q universal vertices. Thus, \mathcal{F}' has a q^+ -core, that is, \mathcal{F} is (p, q) -intersecting. Since G is (p, q) -clique-Helly, we conclude that \mathcal{F} has a q^+ -core and $G[\mathcal{F}]_c$ contains q universal vertices. By using Lemma 22 again, $H[\mathcal{C}]_c$ contains a universal vertex. Moreover, by Lemma 18, $H[\mathcal{C}]_c$ is a spanning subgraph of T . However, T contains no universal vertex. This is a contradiction. Therefore, every $(p + 1)$ -expansion of $H = \Phi_q(G)$ contains a universal vertex.

(\Leftarrow) Assume by contradiction that G is not (p, q) -clique-Helly. Let $\mathcal{F} = \{C_1, \dots, C_k\}$ be a minimal (p, q) -intersecting subfamily of cliques of G which does not have a q -core. Clearly, $k > p$.

By the minimality of \mathcal{F} , the subfamily $\mathcal{F} \setminus C_i$ has a q^+ -core Q_i , for $i = 1, \dots, k$. It is clear that $Q_i \not\subseteq C_i$. Moreover, every two distinct Q_i, Q_j are contained in a same clique, since $k \geq 3$. Hence the sets Q_1, Q_2, \dots, Q_{p+1} correspond to a $(p+1)$ -complete C in $\Phi_q(G)$.

Let \mathcal{C} be the subfamily of cliques of $H = \Phi_q(G)$ that contain at least p vertices of C . Let $\mathcal{C}' = \Phi_q(\mathcal{F}) = \{\Phi_q(C_1), \dots, \Phi_q(C_k)\}$. Since every $C_i \in \mathcal{F}$ contains at least p sets from Q_1, Q_2, \dots, Q_{p+1} , it is clear that the clique $\Phi_q(C_i)$ of H contains at least p vertices of C . Therefore, $\Phi_q(C_i) \in \mathcal{C}$, for $i = 1, \dots, k$.

Let T be the $(p+1)$ -expansion of H relative to C . By Lemma 18, $H[\mathcal{C}]_c$ is a spanning subgraph of T . Therefore, $V(Q) \subseteq V(T)$, for every $Q \in \mathcal{C}$. In particular, $V(\Phi_q(C_i)) \subseteq V(T)$, for $i = 1, \dots, k$. By hypothesis, T contains a universal vertex x . Then x is adjacent to all the vertices of $\Phi_q(C_i) \setminus \{x\}$, for $i = 1, \dots, k$. This implies that $\Phi_q(C_i)$ contains x , otherwise $\Phi_q(C_i)$ would not be maximal. Thus, \mathcal{C}' has a 1^+ -core and $H[\mathcal{C}']_c$ contains a universal vertex. By Lemma 22, $G[\mathcal{F}]_c$ contains q universal vertices, that is, \mathcal{F} has a q^+ -core. This contradicts the assumption for \mathcal{F} . Hence, G is a (p, q) -clique-Helly graph. \square

4 Complexity Aspects

Let p and q be fixed positive integers. If $p = 1$, testing whether the union of the q^+ -cliques of G contains q universal vertices (Theorem 24) can be easily done in polynomial time. If $p > 1$, testing the existence of a universal vertex in every $(p+1)$ -expansion of $\Phi_q(G)$ (Theorem 25) can also be done in polynomial time, since the number of such $(p+1)$ -expansions is $O(|V(G)|^{q(p+1)})$. Thus:

Corollary 26 *For fixed positive integers p, q , there exists a polynomial time algorithm for recognizing (p, q) -clique-Helly graphs. \square*

Now we will show that when p or q is not fixed, the problem of deciding whether a given graph G is (p, q) -clique-Helly is NP-hard. We first recall the following NP-complete problems [6]:

SATISFIABILITY: Given a boolean expression \mathcal{E} in the conjunctive normal form, is there a truth assignment for \mathcal{E} ?

CLIQUE: Given a graph G and a positive integer k , is there a k^+ -clique in G ?

The NP-hardness of CLIQUE can be proved by a transformation from SATISFIABILITY (see [6]): given a boolean expression \mathcal{E} with m clauses in the conjunctive normal form, construct the graph $\mathcal{G}(\mathcal{E})$ by defining a vertex for each occurrence of a literal in \mathcal{E} , and by creating an edge between two vertices if and only if the corresponding literals lie in distinct clauses and one is not the negation of the other. Moreover, set $k = m$. The following fact is easy to prove:

Fact 27 *The boolean expression \mathcal{E} with m clauses in the conjunctive normal form is satisfiable if and only if the graph $\mathcal{G}(\mathcal{E})$ contains an m -clique.*

Let us first show the NP-hardness proof when p is fixed and q is variable:

Theorem 28 *Let p be a fixed positive integer. Given a graph G and a positive integer q , the problem of deciding whether G is (p, q) -clique-Helly is NP-hard.*

Proof. Transformation from CLIQUE. Given a graph G and a positive integer k , construct the graph G' by adding $2p + 2$ new vertices forming a

$(p + 1)$ -complete $Z = \{z_1, z_2, \dots, z_{p+1}\}$ and a $(p + 1)$ -independent set $W = \{w_1, w_2, \dots, w_{p+1}\}$. Add the edges (z_i, w_j) , for $i \neq j$, and the edges (v, u) , for $v \in V(G)$ and $u \in Z \cup W$. The construction of G' is finished. Figure 2 shows the construction, where non-edges between Z and W are represented by dashed lines linking z_i to w_i .

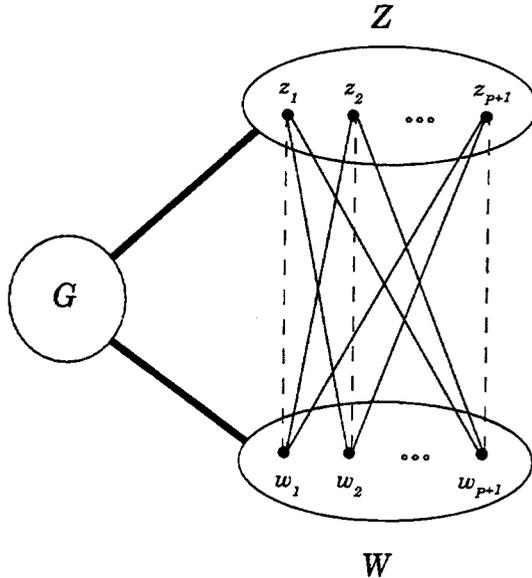


Figure 2: The graph G' for Theorem 28.

Define $q = k + 1$. We will show that G contains a $(q - 1)$ -clique if and only if G' is not (p, q) -clique-Helly. Assume first that G contains a $(q - 1)$ -clique C . Consider the following $p + 1$ cliques of G' :

$$C \cup \{w_j\} \cup (Z \setminus \{z_j\}), \text{ for } 1 \leq j \leq p + 1.$$

These cliques are (p, q) -intersecting, but do not have a q^+ -core. Therefore, G' is not (p, q) -clique-Helly.

Conversely, assume that the cliques of G have size at most $q - 2$. Since $G'[Z \cup W]$ is $K_{(p+2)}$ -free, its cliques have size at most $(q - 2) + (p + 1) =$

$q + p - 1$, that is, G' is $K_{(p+q)}$ -free. By Lemma 15, G' is (p, q) -clique-Helly, as desired. \square

Now we prove the NP-hardness in the case where q is fixed and p is variable:

Theorem 29 *Let q be a fixed positive integer. Given a graph G and a positive integer p , the problem of deciding whether G is (p, q) -clique-Helly is NP-hard.*

Proof. Transformation from SATISFIABILITY. Given a boolean expression $\mathcal{E} = (\mathcal{E}_1, \dots, \mathcal{E}_m)$ in the conjunctive normal form, let us construct a graph G' .

First, construct the graph $\mathcal{G}(\mathcal{E})$ described above in the transformation from SATISFIABILITY to CLIQUE. Define \mathcal{V}_i as the subset of vertices of $V(\mathcal{G}(\mathcal{E}))$ corresponding to occurrences of literals in clause \mathcal{E}_i , $1 \leq i \leq m$.

Next, add m new vertices, one for each \mathcal{E}_i , forming an m -independent set $W = \{w_1, w_2, \dots, w_m\}$. For $i = 1, \dots, m$, add the edges (w_i, v) where $v \in V(\mathcal{G}(\mathcal{E}))$ and $v \notin \mathcal{V}_i$.

Finally, add $q - 1$ new vertices forming a $(q - 1)$ -complete $Z = \{z_1, \dots, z_{q-1}\}$, and add the edges (z, v) , for $z \in Z$ and $v \in W \cup \mathcal{G}(\mathcal{E})$. The construction of G' is finished. Clearly, every vertex of Z is universal in G' , and every clique of G' contains these $q - 1$ vertices. Figure 3 shows a scheme of the construction, where the dashed lines mean that w_i is not adjacent to the vertices of \mathcal{V}_i , for $1 \leq i \leq m$.

Set $p = m - 1$. We will show that \mathcal{E} is satisfiable if and only if G' is not (p, q) -clique-Helly. Assume first that \mathcal{E} is satisfiable. By Fact 27, $\mathcal{G}(\mathcal{E})$ contains a $(p + 1)$ -clique $C = \{v_1, v_2, \dots, v_{p+1}\}$, where $v_j \in \mathcal{V}_j$. By the construction of G' , it contains the $(p + q)$ -cliques

$$C_i = (C \setminus \{v_j\}) \cup \{z_j\} \cup Z, \text{ for } 1 \leq j \leq p + 1.$$

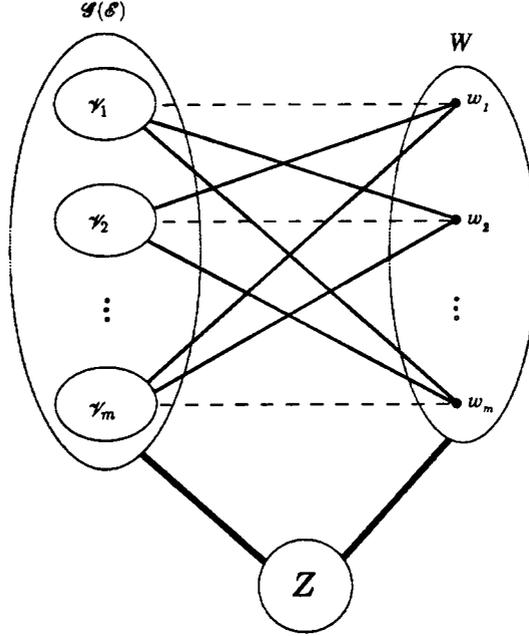


Figure 3: The graph G' for Theorem 29.

These $p + 1$ cliques are (p, q) -intersecting, but do not have a q^+ -core. Thus, G' is not (p, q) -clique-Helly.

Conversely, assume that \mathcal{E} is not satisfiable. In this case, by Fact 27, $\mathcal{G}(\mathcal{E})$ is $K_{(p+1)}$ -free. Thus, every clique of G' contains exactly a vertex of W , since for any p^- -subset $S \subseteq V(\mathcal{G}(\mathcal{E}))$, there exists at least one vertex of W adjacent to all the vertices of S .

Let \mathcal{Q} be a $(p+1)$ -family of q -completes contained in a same clique of G' , and let \mathcal{F} be the subfamily of cliques of G' that contain at least p members of \mathcal{Q} . By Observation 13, we need to prove that \mathcal{F} has a q^+ -core. (Recall that \mathcal{F} has the $(q - 1)$ -core Z .)

If $\text{Univ}(\mathcal{Q})$ is contained in a $(p+q-1)^-$ -complete of G' , Lemma 14 guarantees that \mathcal{F} has a q^+ -core, and nothing remains to prove. Hence, let us assume that $\text{Univ}(\mathcal{Q})$ is a $(p+q)^+$ -complete of G' .

Since $\mathcal{G}(\mathcal{E})$ is $K_{(p+1)}$ -free, a maximum clique C of G' is of size at most $(q-1) + 1 + p = p + q$. Therefore, $\text{Univ}(\mathcal{Q})$ is in fact a $(p+q)$ -clique of G' .

Write $C = \text{Univ}(\mathcal{Q})$. Then C is of the form $C = Z \cup \{w_k\} \cup P$, where $k \in \{1, \dots, p+1\}$ and P is a p -complete contained in $V(\mathcal{G}(\mathcal{E}))$. It is clear that the occurrences of literals corresponding to the vertices of P lie in distinct clauses of \mathcal{E} . This means that there is exactly one vertex $v \in P \cap \mathcal{V}_j$, for every $j \in \{1, \dots, p+1\} \setminus \{k\}$. Thus, write $P = \{v_1, \dots, v_{k-1}, v_{k+1}, \dots, v_{p+1}\}$, where $v_j \in \mathcal{V}_j$ for $j \in \{1, \dots, p+1\} \setminus \{k\}$.

Let $v \in \{w_k\} \cup P$. If v belongs simultaneously to two members of \mathcal{Q} , then v belongs to all the members of \mathcal{F} . In other words, $Z \cup \{v\}$ is a q -core of \mathcal{F} , as desired. Therefore, it only remains to analyze the case in which

$$\mathcal{Q} = \{ Z \cup \{v_j\} \mid 1 \leq j \leq p+1, j \neq k \} \cup \{ Z \cup \{w_k\} \}.$$

In this case, let us show that w_k belongs to every member of \mathcal{F} . Suppose that some $C' \in \mathcal{F}$ does not contain w_k . Recall that C' contains a vertex $w_j, j \neq k$. Moreover, v_j is not adjacent to w_j . This implies that C' cannot contain the member of \mathcal{Q} which v_j belongs to. Since C' does not contain w_k , C' can neither contain the member of \mathcal{Q} which w_k belongs to. A contradiction arises, since C' should contain p members of \mathcal{Q} . Thus, w_k indeed belongs to every member of \mathcal{F} , and $Z \cup \{w_k\}$ is a q -core of \mathcal{F} , as desired. \square

From Theorems 28 and 29, we conclude:

Corollary 30 *The recognition of (p, q) -clique-Helly graphs, for p or q variable, is NP-hard. \square*

5 Some Questions

It remains open the question of deciding whether there exists a recognition algorithm for (p, q, r) -families of sets which is polynomial on the size of the input family, for fixed integers p, q and r .

Define a graph to be (p, q, r) -clique-Helly if its family of cliques is (p, q, r) -Helly. Another interesting question is to obtain a characterization for (p, q, r) -clique-Helly graphs that might possibly lead to a polynomial time recognition algorithm on the size of the input graph, for fixed p, q and r .

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